

BlackRock Inc.
Form 4
August 06, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
FINK LAURENCE

(Last) (First) (Middle)

**BLACKROCK, INC., 55 EAST
52ND STREET**

(Street)

NEW YORK, NY 10055

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BlackRock Inc. [BLK]

3. Date of Earliest Transaction
(Month/Day/Year)
08/05/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Shares Of Common Stock (par Value \$0.01 Per Share)	08/05/2015		M	8,425 A	\$ 167.76 1,255,355.28 (1)	D	
Shares Of Common Stock (par Value \$0.01 Per Share)	08/05/2015		S	8,425 D	\$ 336.416 (2)	D	
					1,246,930.28 (1)		

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Shares Of Common Stock (par Value \$0.01 Per Share)	08/05/2015	M	6,576	A	\$ 167.76	<u>1,253,506.28</u> (1)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	08/05/2015	S	6,576	D	<u>\$ 337.27</u> (3)	<u>1,246,930.28</u> (1)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	08/05/2015	M	299	A	\$ 167.76	<u>1,247,229.28</u> (1)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	08/05/2015	S	299	D	\$ 338.05	<u>1,246,930.28</u> (1)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	08/06/2015	M	3,900	A	\$ 167.76	<u>1,250,830.28</u> (1)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	08/06/2015	S	3,900	D	\$ 336	<u>1,246,930.28</u> (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction of	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)			
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 167.76	08/05/2015	M		8,425		09/29/2011	01/31/2017	Common Stock	8,425 <u>(4)</u>
Employee Stock Option (Right to Buy)	\$ 167.76	08/05/2015	M		6,576		09/29/2011	01/31/2017	Common Stock	6,576 <u>(4)</u>
Employee Stock Option (Right to Buy)	\$ 167.76	08/05/2015	M		299		09/29/2011	01/31/2017	Common Stock	299 <u>(4)</u>
Employee Stock Option (Right to Buy)	\$ 167.76	08/06/2015	M		3,900		09/29/2011	01/31/2017	Common Stock	3,900 <u>(4)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FINK LAURENCE BLACKROCK, INC. 55 EAST 52ND STREET NEW YORK, NY 10055	X		Chairman and CEO	

Signatures

/s/ Daniel R. Waltcher as Attorney-in-Fact for Laurence Fink 08/06/2015

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes (i) 10,962 Restricted Stock Units vesting on 1/31/16, (ii) 18,596 Restricted Stock Units vesting in installments on 1/31/16 and 1/31/17, (iii) 11,618 shares of Restricted Stock vesting in installments on 1/31/16, 1/31/17 and 1/31/18, (iv) 32,766 Restricted Stock Units awarded on 1/20/12 that will vest in whole or in part only on the satisfaction of one or more previously-disclosed Common Stock price targets, but which may not vest before 1/31/16 and will expire on 1/31/18 to the extent not then vested, (v) 37,472 Restricted Stock Units

- (1) awarded on 1/18/13 that will vest in whole or in part only on the satisfaction of one or more previously-disclosed Common Stock price targets, but which may not vest before 1/31/17 and will expire on 1/31/19 to the extent not then vested and (vi) 24,322 Restricted Stock Units awarded on 1/17/14 that will vest in whole or in part only on the satisfaction of one or more previously-disclosed Common Stock price targets, but which may not vest before 1/31/18 and will expire on 1/31/20 to the extent not then vested. Each Restricted Stock Unit is payable solely by delivery of an equal number of shares of Common Stock.

This transaction was executed in multiple trades at prices ranging from \$336.00 to \$336.98. The price reported represents the weighted

- (2) average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.

This transaction was executed in multiple trades at prices ranging from \$337.03 to \$338.00. The price reported represents the weighted

- (3) average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.

- (4) Relates to a portion of a long-term incentive plan option award of 364,313 options granted on 1/31/07 with an expiration date of 1/31/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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