### Edgar Filing: FIRST MID ILLINOIS BANCSHARES INC - Form 4

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FIRST MID Form 4 August 05, 2	ILLINOIS BAN 2014	NCSHARI	ES INC							
FORM	ЛЛ								OMB A	PPROVAL
	UNITEL	) STATES			ND EXC , D.C. 205		GE C	OMMISSION	OMB Number:	3235-0287
Check th if no lon	aor					~			Expires:	January 31, 2005
subject t Section Form 4 o	o <b>SIAIE</b> 16.	MENT O	Estimated average burden hours per response 0.5							
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17	(a) of the	Public U	tility Hold		pany 4	Act of	e Act of 1934, 1935 or Section 0	n	
(Print or Type	Responses)									
	Address of Reportin RICHARD AN	-	Symbol FIRST	MID ILL		-		5. Relationship of Issuer (Chec	Reporting Person	
(I t)	( <b>E</b> '4)	BANCSHARES INC [FMBH]						10% Owner		
(Last) 121 SOUTI	(First) H 17TH STREE	3. Date of (Month/E 08/04/2	-	ransaction			Director 10% Owner Officer (give titleX Other (specify below) 8/4/14 ceased as 10% owner			
	(Street)			endment, Da nth/Day/Year	-			6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by N		erson
MATTOON	N, IL 61938							Person		porting
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative So	ecuriti	es Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	:) Execution any	med on Date, if Day/Year)	Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	posed of and 5) (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	08/04/2014			Code V	Amount	(D)	Price		T	By 1970 Trust Fbo
Stock	08/04/2014			J <u>(1)</u>	316,947	D	\$0	0	Ι	Richard A. Lumpkin $(1)$
Common Stock	08/04/2014			J <u>(1)</u>	604	D	\$ 0	0	I	By 1976 Trust Fbo Richard A. Lumpkin
Common Stock	08/04/2014			J <u>(1)</u>	12,552	D	\$0	0	Ι	By 1970 Trust Fbo

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										Mary Lee Sparks (1)	
Common Stock	08/04/2014		J <u>(1)</u>	605	D	\$ 0	0		I	By 1976 Trust Fbo Mary Lee Sparks (1)	
Common Stock	08/04/2014		J <u>(1)</u>	24,888	D	\$ 0	0		I	By 1970 Trust Fbo Margaret L. Keon <u>(1</u>	
Common Stock	08/04/2014		J <u>(1)</u>	604	D	\$0	0		I	By 1976 Trust Fbo Margaret L. Keon <u>(1</u>	
Common Stock	08/04/2014		J <u>(1)</u>	5,874	D	\$ 0	0		I	By 2001 Irr Trust Fbo John W. Sparks	3
Common Stock							46,15	59.493	D		
Common Stock							3,624	4	I	By Living Trust Fbo John W. Sparks (2)	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.       Persons who respond to the collection of information contained in this form are not information contained in this form are not information contained to respond unless the form displays a currently valid OMB control number.       (9-02)         Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)       (9-02)											
1. Title of Derivative Sea (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution any (Month/D	Date, if	4. Transact Code (Instr. 8)	tionof Der ) Sec (A) Disp of (1	ivative urities juired or posed D) tr. 3, 4,	6. Date Exe Expiration 1 (Month/Da		7. Title and Underlying (Instr. 3 and	Securities
					Code V	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Series B 9% Non-cumulative Perpetual Convertible Preferred	(3)	08/04/2014	J <u>(1)</u>	100	<u>(3)</u>	<u>(3)</u>	Common Stock	23,126.
Series B 9% Non-cumulative Perpetual Convertible Preferred	<u>(3)</u>	08/04/2014	J <u>(1)</u>	80	<u>(3)</u>	(3)	Common Stock	18,501.3
Series C 8% Non-cumulative Perpetual Convertible Preferred	<u>(4)</u>	08/04/2014	J <u>(1)</u>	80	<u>(4)</u>	<u>(4)</u>	Common Stock	19,714.1
Series C 8% Non-cumulative Perpetual Convertible Preferred	<u>(4)</u>	08/04/2014	J <u>(1)</u>	50	<u>(4)</u>	<u>(4)</u>	Common Stock	12,321.3

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LUMPKIN RICHARD ANTHONY 121 SOUTH 17TH STREET MATTOON, IL 61938				8/4/14 ceased as 10% owner			
Signatures							
/s/ Michael L. Taylor, attorney-in-fac Lumpkin	et for Mr.		08/05	5/2014			
<u>**</u> Signature of Reporting Person			Da	ıte			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 4, 2014 Mr. Lumpkin ceased being the trustee of these trusts.
- (2) Mr. Lumpkin is trustee of this trust.

Each share of the Series B Convertible Preferred Stock (i) is convertible at any time into 231.267 shares of common stock and cash in lieu(3) of any fractional share of common stock, subject to certain adjustments, (ii) is convertible at First Mid-Illinois Bancshares' option under certain circumstances, and (iii) has no expiration date.

Each share of Series C Convertible Preferred Stock (i) is convertible at any time into 246.427 shares of common stock and cash in lieu of
(4) any fractional share of common stock, subject to certain adjustments, (ii) is convertible at First Mid-Illinois Bancshares' option under certain circumstances, (iii) has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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