

Unum Group
Form 4
December 06, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCCARTHY KEVIN P

(Last) (First) (Middle)

1 FOUNTAIN SQUARE

(Street)

CHATTANOOGA, TN 37402

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Unum Group [UNM]

3. Date of Earliest Transaction (Month/Day/Year)
12/04/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
EVP & Chief Operating Officer

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	12/04/2013		M ⁽¹⁾		1,000	A	\$ 23.74
Common Stock	12/04/2013		M ⁽¹⁾		1,060	A	\$ 20.78
Common Stock	12/04/2013		M ⁽¹⁾		1,060	A	\$ 26.29
Common Stock	12/04/2013		M ⁽¹⁾		760	A	\$ 23.35
Common Stock	12/04/2013		S ⁽¹⁾		3,880	D	\$ 34

Edgar Filing: Unum Group - Form 4

Common Stock 12/05/2013 M⁽¹⁾ 1,518 A \$ 23.35 178,511 ⁽⁷⁾ D

Common Stock 1,856.36 I By N-Q 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 23.74	12/04/2013		M ⁽¹⁾	1,000	⁽⁸⁾ 02/21/2016	Common Stock	1,000
Employee Stock Option (right to buy)	\$ 20.78	12/04/2013		M ⁽¹⁾	1,060	⁽⁹⁾ 02/25/2018	Common Stock	1,060
Employee Stock Option (right to buy)	\$ 26.29	12/04/2013		M ⁽¹⁾	1,060	⁽¹⁰⁾ 02/22/2019	Common Stock	1,060
Employee Stock Option (right to buy)	\$ 23.35	12/04/2013		M ⁽¹⁾	760	⁽¹¹⁾ 02/21/2020	Common Stock	760

Employee Stock Option (right to buy)	\$ 23.35	12/05/2013		M ⁽¹⁾	1,518	<u>(11)</u>	02/21/2020	Common Stock	1,518
--	----------	------------	--	------------------	-------	-------------	------------	-----------------	-------

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCCARTHY KEVIN P 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402			EVP & Chief Operating Officer	

Signatures

/s/ Jullienne, J. Paul,
Attorney-in-Fact

12/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2013.
 - (2) Includes 84,727 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 93,266 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (3) Includes 84,727 stock-settled RSUs, and 94,326 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (4) Includes 84,727 stock-settled RSUs, and 95,386 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (5) Includes 84,727 stock-settled RSUs, and 96,146 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (6) Includes 84,727 stock-settled RSUs, and 92,266 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (7) Includes 84,727 stock-settled RSUs, and 93,784 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (8) The options vest in three equal annual installments beginning on February 21, 2009.
 - (9) The options vest in three equal annual installments beginning on February 25, 2011.
 - (10) The options vest in three equal annual installments beginning on February 22, 2012.
 - (11) The options vest in three equal annual installments beginning on February 21, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.