#### NACCO INDUSTRIES INC

Form 4 June 26, 2006

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Check this box if no longer subject to

3235-0287 Number: January 31, Expires:

2005

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Persor |
|---|
| RANKIN ALFRED M ET AL                   |

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

NACCO INDUSTRIES INC [NC]

(Check all applicable)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE.

300

3. Date of Earliest Transaction

(Month/Day/Year) 03/24/2006

\_X\_ Director 10% Owner \_X\_\_ Officer (give title \_\_X\_\_ Other (specify below) below)

CEO / Group Member

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CLEVELAND, OH 44124

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |  |  |  |  |  |  |  |  |  |
|--------------------------------------|---|--|---|--|--|--|--|--|--|--|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |  |  |  |
| Class A<br>Common<br>Stock           |   |  |   |  | 32,800   | I  | By Trust 3 (Grandchildren) (11)                                |  |  |  |  |  |  |
| Class A<br>Common<br>Stock           |   |  |   |  | 2,000  | I  | By Trust 4 (Charities) (12)                                    |  |  |  |  |  |  |
| Class A<br>Common<br>Stock           |   |  |   |  | 26,608   | I  | By Trust 2 (SR) (13)   |  |  |  |  |  |  |
| Class A                              |   |  |   |  | 30,000   | I  | By Trust   |  |  |  |  |  |  |

| Common<br>Stock            |            |            |   |         |     |     |         |   | (Unitrust) (14)               |
|----------------------------|------------|------------|---|---------|-----|-----|---------|---|-------------------------------|
| Class A<br>Common<br>Stock |            |            |   |         |     |     | 14,000  | I | By IRA (15)                   |
| Class A<br>Common<br>Stock |            |            |   |         |     |     | 1,975   | I | By RMI<br>(Delaware) (16)     |
| Class A<br>Common<br>Stock |            |            |   |         |     |     | 114,102 | I | By Trust (AMR)                |
| Class A<br>Common<br>Stock |            |            |   |         |     |     | 2,116   | I | By Assoc<br>II/Spouse (18)    |
| Class A<br>Common<br>Stock |            |            |   |         |     |     | 6       | I | By GP (19)                    |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 31    | A   | \$0 | 2,657   | I | By RA4 (1)                    |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 158   | D   | \$0 | 78,952  | I | By RA4 (CLT) (3)              |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 7,307 | 7 D | \$0 | 28,864  | I | By Assoc II (4)               |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 1,771 | D   | \$0 | 12,229  | I | By Trust (CLTR) (5)           |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 77    | A   | \$0 | 20,361  | I | By Spouse/Trust               |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 1,200 | ) D | \$0 | 64,817  | I | By Trust (Assoc II-BTR) (7)   |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 31    | A   | \$0 | 15,665  | I | By RA4 (BTR) (8)              |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 360   | A   | \$0 | 5,654   | I | By Assoc<br>II/Daughter 1 (9) |
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V 360   | A   | \$0 | 5,654   | I | By Assoc<br>II/Daughter 2 (9) |

| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V | 77 | A | \$0 | 38,517 | I | By<br>Trust/Daughter<br>1 (10) |
|----------------------------|------------|------------|---|---|----|---|-----|--------|---|--------------------------------|
| Class A<br>Common<br>Stock | 03/24/2006 | 03/24/2006 | G | V | 77 | A | \$0 | 38,517 | I | By<br>Trust/Daughter<br>2 (10) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  | 8. Pri<br>Deriv<br>Secur<br>(Instr |
|---|---|--------------------------------------|---|--|---------|--|-----------------|---|----------------------------------|------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D) | Date<br>Exercisable                                      | Expiration Date | Title   | Amount or<br>Number of<br>Shares |                                    |
| Class B<br>Common<br>Stock                          | \$ 0 (2)  |                                      |   |  |         | (2)  | (2)             | Class A<br>Common<br>Stock                                    | 113,198                          |                                    |
| Class B<br>Common<br>Stock                          | \$ 0 (2)  |                                      |   |  |         | (2)  | <u>(2)</u>      | Class A<br>Common<br>Stock                                    | 126,507                          |                                    |
| Class B<br>Common<br>Stock                          | \$ 0 (2)  |                                      |   |  |         | (2)  | (2)             | Class A<br>Common<br>Stock                                    | 46,052                           |                                    |
| Class B<br>Common<br>Stock                          | \$ 0 (2)  |                                      |   |  |         | (2)  | (2)             | Class A<br>Common<br>Stock                                    | 106,923                          |                                    |
| Class B<br>Common<br>Stock                          | \$ 0 (2)  |                                      |   |  |         | (2)  | (2)             | Class A<br>Common<br>Stock                                    | 7,000                            |                                    |
| Class B<br>Common                                   | \$ 0 (2)  | 03/24/2006                           | 03/24/2006  | G V                                    | 89      | (2)  | (2)             | Class A<br>Common   | 89                               | \$                                 |

| Stock                      |          |            |            |   |     |     |     |            | Stock                      |     |  |
|----------------------------|----------|------------|------------|---|-----|-----|-----|------------|----------------------------|-----|--|
| Class B<br>Common<br>Stock | \$ 0 (2) |            |            |   |     |     | (2) | (2)        | Class A<br>Common<br>Stock | 19  |  |
| Class B<br>Common<br>Stock | \$ 0 (2) | 03/24/2006 | 03/24/2006 | G | V   | 442 | (2) | (2)        | Class A<br>Common<br>Stock | 442 |  |
| Class B<br>Common<br>Stock | \$ 0 (2) | 03/24/2006 | 03/24/2006 | G | V 8 | 9   | (2) | <u>(2)</u> | Class A<br>Common<br>Stock | 89  |  |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

RANKIN ALFRED M ET AL

NACCO INDUSTRIES, INC.
5875 LANDERBROOK DRIVE, STE. 300

CLEVELAND, OH 44124

CEO Group Member

## **Signatures**

/s/Constantine E. Tsipis, attorney-in-fact for Alfred M.
Rankin, Jr.

06/26/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (2) N/A
- (CTR RAIV) Prepresents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Clara Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (5) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ow nershp of all such shares.
- Reporting Person's spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin. Reporting Person disclaims benefic ial ownership of all such shares.
- Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (BTR RAIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associate II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims

Reporting Owners 4

- beneficial ownership of all such shares.
- (10) Reporting Person serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (11) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Reporting Person serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.-----
- (13) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims bene ficial ownership of all such shares.
- (14) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims benefic ial ownership of all such shares.
- (15) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (16) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. ("RMI"), as general partner.
- (17) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
- (18) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P... Reporting Person disclaims beneficial ownership of all such shares.
- (19) (GP)Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held is a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (21) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.
- Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Clara T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (23) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial o wnershp of all such shares.
- Represents the Preproting Person's proportionate limited partnership interest in shares of Rankin Associates Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

#### **Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.