#### Edgar Filing: GUERTIN SHAWN M - Form 3

#### **GUERTIN SHAWN M**

Form 3

February 28, 2013

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AETNA INC /PA/ [AET] GUERTIN SHAWN M (Month/Day/Year) 02/25/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) AETNA INC., 151 (Check all applicable) **FARMINGTON AVENUE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Sr. Vice President, CFO Person HARTFORD, CTÂ 06156 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 3,000 Common Stock 358.412 I By 401(k) Plan (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Market Stock Units	(2)	(2)	Common Stock	15,693	\$ (3)	D	Â
Market Stock Units	(4)	(4)	Common Stock	15,051	\$ <u>(3)</u>	D	Â
Market Stock Units	(5)	(5)	Common Stock	10,991	\$ <u>(3)</u>	D	Â
Market Stock Units	(6)	(6)	Common Stock	28,421	\$ <u>(3)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
GUERTIN SHAWN M AETNA INC. 151 FARMINGTON AVENUE HARTFORD, CT 06156	Â	Â	Sr. Vice President, CFO	Â		

## **Signatures**

Shawn M. Guertin (by Judith H. Jones, Attorney in Fact) 02/28/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the pro rata portion of the stock portion of Aetna Common Stock Fund held by reporting person on January 31, 2013. The information is based on information provided by the Plan Trustee as of that date.
- (2) Market Stock Units granted on May 10, 2011 under the Aetna Inc. 2010 Stock Incentive Plan, units will vest on March 10, 2013.
- (3) Each Market Stock Unit represents a right to receive up to 1.5 shares of Aetna Inc. Common Stock net of taxes. Vesting amount will be determined based on the average closing stock price for the thirty trading days prior to the vest date.
- (4) Market Stock Units granted on February 2, 2012 under the Aetna Inc. 2010 Stock Incentive Plan, a portion of the units will vest on February 2, 2014 with the remaining units vesting on February 2, 2015.
- (5) Market Stock Units granted on March 12, 2012 under the Aetna Inc. 2010 Stock Incentive Plan, a portion of the units will vest on March 12, 2014 with the remaining units vesting on March 12, 2015.
- (6) Market Stock Units granted on February 1, 2013 under the Aetna Inc. 2010 Stock Incentive Plan, units will vest on February 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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