BROADWAY FINANCIAL CORP \DE Form 8-K November 30, 2015

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D. C. 20549

# FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

**Securities Exchange Act of 1934** 

Date of Report (Date of earliest event reported): November 30, 2015

# **BROADWAY FINANCIAL CORPORATION**

(Exact name of registrant as specified in its charter)

**Delaware** (State of Incorporation) 000-27464 (Commission File Number) 95-4547287 (IRS Employer Identification No.)

5055 Wilshire Boulevard Suite 500, Los Angeles, California (Address of Principal Executive Offices) **90036** (Zip Code)

Registrant s telephone number, including area code: (323) 634-1700

#### NOT APPLICABLE

(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### Item 8.01 Other Events.

On November 30, 2015, Broadway Financial Corporation (the Company ) issued a Press Release announcing the termination of the Consent Order applicable to the Bank by the Bank s primary regulator, the Office of the Comptroller of the Currency (OCC). Copies of the Press Release and Order are attached as exhibits to this report.

### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Press release dated November 30, 2015, announcing the termination of the Consent Order by the OCC.

99.2 Order Terminating the Consent Order

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#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### **BROADWAY FINANCIAL CORPORATION** (Registrant)

Date: November 30, 2015

By

/s/ Brenda J. Battey Brenda J. Battey Chief Financial Officer

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