

NATIONAL HEALTHCARE CORP

Form 4

November 04, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LAROCHE RICHARD F JR

2. Issuer Name **and** Ticker or Trading
Symbol

NATIONAL HEALTHCARE CORP
[NHC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

2103 SHANNON DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)

11/03/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

MURFREESBORO, TN 37129

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock, Family LP (BFLP)					29,523	I	General Partner
Common Stock - Fam. Partnership (LR Ent.)					31,698	D	
Common Stock - Fam. Partnership (LFLP)					96,000	I	General Partner

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Common
Stock - Held
in my name
or my wife's
name

172,220 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	A c N c
Option to Purchase Common Stock	\$ 45.8							05/09/2011	05/08/2016	Common Stock	
Option to Purchase Common Stock	\$ 44.8							05/03/2012	05/02/2017	Common Stock	
Option to Purchase Common Stock	\$ 47.45							05/08/2013	05/07/2018	Common Stock	
Option to Purchase Common Stock	\$ 52.93							05/08/2014	05/07/2019	Common Stock	
Option to Purchase Common Stock	\$ 61.25							05/07/2015	05/06/2020	Common Stock	
Shares of Series A Conv.	\$ 0	08/06/2015		W	V	2,630 <u>(1)</u>		11/01/2007	11/03/2015	Common Stock	

Preferred
Stock - (LR
Ent.)

Shares of
Series A

Conv.
Preferred
Stock - (LR
Ent.)

(3)

11/03/2015

J

378,232
(2)

11/01/2007

11/03/2015

Common
Stock

Shares of
Series A

Conv.
Preferred
Stock -
(BFLP)

(3)

11/03/2015

J

96,534
(2)

11/01/2007

11/03/2015

Common
Stock

Shares of
Series A

Conv.
Preferred
Stock -
(LFLP)

(3)

11/03/2015

J

425 (2)

11/01/2007

11/03/2015

Common
Stock

Shares of
Series A

Conv.
Preferred
Stock -
Family
Foundation

(3)

11/03/2015

J

8,500 (2)

11/01/2007

11/03/2015

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAROCHE RICHARD F JR 2103 SHANNON DRIVE MURFREESBORO, TN 37129	X			

Signatures

/s/ Richard F.
LaRoche, Jr. 11/04/2015

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) This inheritance (Exempt from Section 16(b) by virtue of Rule 16b-5) is voluntarily reported early.
- (2) The reported securities were called for redemption by the issuer at a price equal to \$15.79 per share.
- (3) Convertible anytime at a ratio of 0.24204 common shares per 1.0 preferred share

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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