#### Edgar Filing: JUNIPER NETWORKS INC - Form 3

#### JUNIPER NETWORKS INC

Form 3

February 22, 2016

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement JUNIPER NETWORKS INC [JNPR] A Miller Kenneth Bradley (Month/Day/Year) 02/22/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1133 INNOVATION WAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person SUNNYVALE, CAÂ 94089 (give title below) (specify below) Form filed by More than One **EVP & CFO** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 14,892 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisab<br>Expiration Date<br>(Month/Day/Year) | ole and         | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                        | 4. Conversion or Exercise | 5.<br>Ownership<br>Form of | 6. Nature of Indirect Beneficial Ownership |
|--|--|-----------------|--|------------------------|---------------------------|----------------------------|--|
|  |  |                 |  |                        | Price of                  | Derivative                 | (Instr. 5)                                 |
|  | Date Exercisable   | Expiration Date | Title  | Amount or<br>Number of | Derivative                | Security:                  |  |
|  |  |                 |  |                        | Security                  | Direct (D)                 |  |
|  |  |                 |  |                        |                           | or Indirect                |  |

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|  |               |            |                 | Shares |          | (I)<br>(Instr. 5) |   |
|--|---------------|------------|-----------------|--------|----------|-------------------|---|
| Non-Qualified Stock<br>Option (right to buy) | 03/19/2011(1) | 03/19/2017 | Common<br>Stock | 3,114  | \$ 29.89 | D                 | Â |
| Non-Qualified Stock<br>Option (right to buy) | 11/19/2011(1) | 11/19/2017 | Common<br>Stock | 12,500 | \$ 34.73 | D                 | Â |
| Non-Qualified Stock<br>Option (right to buy) | 03/18/2012(1) | 03/18/2018 | Common<br>Stock | 12,300 | \$ 40.26 | D                 | Â |
| Performance Shares                           | 02/01/2016(2) | 02/01/2017 | Common<br>Stock | 4,554  | \$ 0     | D                 | Â |
| Performance Shares                           | 02/19/2017(3) | 02/19/2018 | Common<br>Stock | 6,727  | \$ 0     | D                 | Â |
| RSU Award                                    | 03/15/2014(4) | 03/15/2016 | Common<br>Stock | 5,940  | \$ 0     | D                 | Â |
| RSU Award                                    | 06/20/2015(4) | 06/20/2017 | Common<br>Stock | 11,550 | \$ 0     | D                 | Â |
| RSU Award                                    | 08/15/2015(4) | 08/15/2017 | Common<br>Stock | 12,361 | \$ 0     | D                 | Â |
| RSU Award                                    | 03/20/2016(4) | 03/20/2018 | Common<br>Stock | 32,957 | \$ 0     | D                 | Â |

### **Reporting Owners**

| Reporting Owner Name / Address                                       | Relationships |           |           |       |  |  |
|--|---------------|-----------|-----------|-------|--|--|
|  | Director      | 10% Owner | Officer   | Other |  |  |
| Miller Kenneth Bradley<br>1133 INNOVATION WAY<br>SUNNYVALE, CA 94089 | Â             | Â         | EVP & CFO | Â     |  |  |

# **Signatures**

/s/ Kenneth B.
Miller

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests as to 25% of the shares subject to the option one year from the grant date and the balance shall vest 1/48th of the total shares granted each month thereafter.
- Represents the maximum quantity of shares issuable. The exact number of shares issuable will be determined based on achievement of certain Company performance targets for the 2015 fiscal year, as determined by the Compensation Committee of the Board. The executive can earn either 0% or 100% of the target shares that will vest 50% each year over a two year period on each anniversary of the date of grant.

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- Represents the maximum quantity of shares issuable. The exact number of shares issuable will be determined based on achievement of certain Company performance targets for the 2016 fiscal year, as determined by the Compensation Committee of the Board. The executive can earn either 0% or 100% of the target shares that will vest 50% each year over a two year period.
- (4) This awards vests from the original grant date as to thirty-four percent on the one year anniversary of the grant date and thirty-three percent annually on the second anniversary and third anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.