**MAXIMUS INC** Form 4

November 10, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

1(b).

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Caswell Bruce

2. Issuer Name and Ticker or Trading

Symbol

MAXIMUS INC [MMS]

3. Date of Earliest Transaction

11/08/2016

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

C/O MAXIMUS INC. ATTN:

TREASURY DEPT. 1891 METRO **CENTER DRIVE** 

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

below) President

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

RESTON, VA 20190-5207

(State) (Zip) 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

3. 4. Securities

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Form: Direct Beneficially Owned (I) Following (Instr. 4)

6. Ownership 7. Nature of Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

Reported (A) Transaction(s) or

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of Derivative (Month/Day/Year) Execution Date, if TransactionDerivative Conversion

5. Number of

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. P **Underlying Securities** 

1

#### Edgar Filing: MAXIMUS INC - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5)	red (A) possed of 3, 4,		(Instr. 3 and	4)	Sect (Ins
				Code V	(A) (D	) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock (1)	\$ 53.91	11/08/2016		A	40,809	(2)	(2)	Common Stock	40,809	

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Caswell Bruce

C/O MAXIMUS INC. ATTN: TREASURY DEPT

1891 METRO CENTER DRIVE President

RESTON, VA 20190-5207

# **Signatures**

David R. Francis: As Attorney-In-Fact for: Bruce
Caswell
11/10/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of common stock.
- Restricted Stock Units vest based upon the following schedule, subject to deferred vesting for a longer period at the election of individual, (2) as permitted by the terms of the award: Shares Vest Date 8162 09/30/2017 8162 09/30/2018 8162 09/30/2019 8162 09/30/2020 8161 09/30/2021 Expiration date not applicable to RSUs
- (3) Reporting person also holds restricted stock units with respect to an additional 63,194 shares of common stock with varying vesting schedules.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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