

HUDSON TECHNOLOGIES INC /NY

Form 4/A

March 23, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
COLEMAN BRIAN F

2. Issuer Name and Ticker or Trading Symbol  
HUDSON TECHNOLOGIES INC /NY [HDSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
41 MOUNTAINVIEW AVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/12/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President, Chief Operating Off

PEARL RIVER, NY 10965  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)  
03/16/2015

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | Price  |                                   |
| Common Stock                    | 03/12/2015                           |  | S                              |   | 35,100  | \$ 4.3 (1)   | D                                 |
| Common Stock                    | 03/13/2015                           |  | S                              |   | 14,900  | \$ 4.19 (3)  | D                                 |
| Common Stock                    | 03/16/2015                           |  | M                              |   | 12,500  | \$ 0.83  | D                                 |
| Common Stock                    | 03/16/2015                           |  | M                              |   | 12,500  | \$ 2.15  | D                                 |
| Common Stock                    | 03/16/2015                           |  | M                              |   | 12,500  | \$ 1.76  | D                                 |



## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.29 to \$4.32 per share inclusive. The reporting person undertakes to provide Hudson Technologies, Inc., any security holder of Hudson

- (1) Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnotes (1) and (3) to this Form 4(A).
- (2) Includes 603,100 shares which may be purchased pursuant to stock options.
- (3) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.15 to \$4.29 per share, inclusive.
- (4) Includes 590,600 shares which may be purchased pursuant to stock options.
- (5) Includes 578,100 shares which may be purchased pursuant to stock options.
- (6) Includes 565,600 shares which may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.