

Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

ESTEE LAUDER COMPANIES INC

Form 4

December 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Cavanaugh, Andrew J.
The Estee Lauder Companies Inc.
767 Fifth Avenue
New York, NY 10153

2. Issuer Name and Ticker or Trading Symbol

The Estee Lauder Companies Inc.
EL

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

11/30/2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Senior Vice President - Corporate Human Resources

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) | | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|-------------|--|
| | | | Amount | A/D Price | |
| Class A Common Stock | 11/01/2001 | M | 20,000 | A \$21.3125 | |
| Class A Common Stock | 11/02/2001 | S | 900 | D \$31.54 | |
| Class A Common Stock | 11/02/2001 | S | 9,500 | D \$31.55 | |
| Class A Common Stock | 11/02/2001 | S | 800 | D \$31.58 | |
| Class A Common Stock | 11/02/2001 | S | 7,200 | D \$31.59 | |
| Class A Common Stock | 11/02/2001 | S | 1,600 | D \$31.60 | |
| Class A Common Stock | 11/20/2001 | M | 10,000 | D \$21.3125 | |

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| | | | | | | | |
|----------------------|------------|---|--------|---|---------|-----|--|
| Class A Common Stock | 11/20/2001 | S | 10,000 | D | \$33.80 | 444 | |
|----------------------|------------|---|--------|---|---------|-----|--|

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------|
| Option (Right to Buy) | \$21.3125 | 11/1/2001 | M | 20,000 | D (1) 9/5/2006 | Class A Common Stock | 20,000 |
| Option (Right to Buy) | \$21.3125 | 11/20/2001 | M | 10,000 | D (1) 9/5/2006 | Class A Common Stock | 10,000 |

Explanation of Responses:

1. The employee stock option is exercisable in three substantially equal annual installments beginning on January 1, 2000.

SIGNATURE OF REPORTING PERSON

Andrew J. Cavanaugh

DATE

12/04/2001