Edgar Filing: SCHWEITZER MAUDUIT INTERNATIONAL INC - Form 4

October 04, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Vashington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Check this box if no longer subject to section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31, Expires: January 31, Columnary 31, Columnary 31, January 31, January 31, Sanuary 31, Columnary 31, January 31, Jan	Form 4	ZER MAUDUIT I	INTERNA	TIONA	AL INC								
Check this box if no longer subject to SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 5 Form 5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Sec Instruction 10(b). (Print or Type Responses) 1. Name and Address of Reporting Person ¹ . (Last) (First) (Middle) 3. Date of Earlies Transaction (Month/Day/Year) (Last) (First) (Middle) 3. Date of Earlies Transaction (Stree) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) Table 1 - Non-Derivative Securities Action 15, and 4) (Last, (Month/Day/Year) (Month/Day/Year) (City) (State) (Zip) Table 1 - Non-Derivative Securities Scientifies Action 15, and 4) (Last, (Month/Day/Year) (Check all applicable) (Stree) 4. If Amendment, Date Original Filed(Month/Day/Year) 5. Actionates Action (City) (State) (Zip) Table 1 - Non-Derivative Securities Action 16, and yidual or Joint/Group Filingt(Check Applicable Ling) (Last, (Month/Day/Year) (City) (State) (Zip) Table 1 - Non-Derivative Securities Action (Instr. 3) (City) (State) (Zip) Table 1 - Non-Derivative Securities Scanut of 6. Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, and 4) (City) (State) (Zip) Table 1 - Non-Derivative Securities Action (Instr. 4) (Month/Day/Year) (Instr. 3, and 4)										OMB A	PPROVAL		
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: 2005 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). S. Relationship of Reporting Person(s) to Issuer S. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 1. Name and Address of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X_Director (Month/Day/Year) _S. Pelationship of Reporting Person 10% Owner 		UNITED	STATES					NGE	COMMISSION	OIIID	3235-0287		
Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(b) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol SCHWEITZER MAUDUIT INTERNATIONAL INC [SWM] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) ALPHARETTA, GA 30022 (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date, if Security (Month/Day/Year) (Instr. 3, 4, Securities Schweid (A) or Security (Month/Day/Year) (Instr. 3, 4, and 5) (Instr. 3, 4, and 5) (Month/Day/Year) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) and 4)	if no longer subject to Section 16. STATEMENT OF CI				CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: 2005 Estimated average burden hours per		
1. Name and Address of Reporting Person * Keenan Jeffrey 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Form 5 obligatio may cont <i>See</i> Instr	Filed pur ons Section 17(a) of the H	Public U	Itility Hol	ding Cor	npan	y Act	of 1935 or Section	·	. 0.5		
Keenan Jeffrey Symbol Issuer SCHWEITZER MAUDUIT INTERNATIONAL INC [SWM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	(Print or Type]	Responses)											
C/O SCHWEITZER-MAUDUIT INTERNAT'L INC., 100 NORTH POINT CENTER EAST, SUITE 600 (Month/Day/Year) (Street) 09/30/2016 0ther (specify below) 0ther (specify below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_ Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table 1 - Non-Derivative Securities 5. Amount of Security (Instr. 3) 6. Ownership Form: Direct (Month/Day/Year) 7. Nature of Indirect any (Month/Day/Year) 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 3. (A. Deemed Execution Date, if any (Month/Day/Year) 3. (Instr. 3, 4 and 5) 4. Securities Scurities (Instr. 4) 5. Amount of Securities (Instr. 4) 6. Ownership Form: Direct (Instr. 4) 7. Nature of Indirect Beneficially (Instr. 4)	Keenan Jeffrey			Symbol SCHWEITZER MAUDUIT				-	Issuer				
Filed(Month/Day/Year) Applicable Line) _X_Form filed by More Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Securities S. Amount of Security (Instr. 3) 6. Ownership Form: Direct 7. Nature of Indirect 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2.A. Deemed (Month/Day/Year) 3. 4. Securities Transaction-Acquired (A) or Code 5. Amount of Beneficially (Instr. 3, 4 and 5) 6. Ownership Form: Direct 7. Nature of Form: Direct (A) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) 3. 4. Securities Transaction-Acquired (A) or Code 5. Amount of Disposed of (D) (Instr. 4) 6. Ownership Form: Direct 7. Nature of Form: Direct (A) (Month/Day/Year) (Month/Day/Year) (Instr. 4) (Instr. 4) (Instr. 4) (A) or Code or Code O or Code (Instr. 3 and 4) (Instr. 3 and 4)	C/O SCHW INTERNAT	ΈΙΤΖΕR-MAUD Γ'L INC., 100 NC	OUIT ORTH	(Month/	Day/Year)	ransaction			Officer (giv	e title Oth			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Transaction-Acquired (A) or Code 5. Amount of Disposed of (D) 6. Ownership Form: Direct 7. Nature of Indirect 0. (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership Following 7. Nature of Form: Direct (A) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership (Instr. 4) Ownership (Instr. 4) (A) or Code V Amount (D) Price Following (Instr. 3 and 4)	Filed()				-				Applicable Line) _X_ Form filed by One Reporting Person				
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0. Month/Day/Year) 8. (Instr. 3, 4 and 5) 0. Owned (Instr. 3, 4 and 5) 6. Ownership Following (Instr. 4) 7. Nature of Indirect 0. Month/Day/Year) 0. Ownership (Instr. 4) 0. Owned (Instr. 4) 1. Ownership (Instr. 4) 0. Month/Day/Year) 0. Ownership (Instr. 4) 0. Ownership (Instr. 4) 1. Ownership (Instr. 4)									Person				
Security (Month/Day/Year) Execution Date, if any Code Disposed of (D) Beneficially (Month/Day/Year) (Instr. 3) (Instr. 3, 4 and 5) Owned (I) Owned (I) Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) Code V Amount (D) Price (Code V Amount (D) Price (Code V Amount (C)) Price (Code V Amount	(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Secur	rities A	cquired, Disposed o	of, or Beneficia	lly Owned		
Code V Amount (D) Price	Security (Month/Day/Year) Execution Date (Instr. 3) any		Date, if	TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership				
	Reminder Per	oort on a separate line	for each cl	ass of sec									

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ecution Date, if Transactionof y Code Derivativ		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 8 Underlying Securities 1 (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units <u>(1)</u>	\$ 0	09/30/2016		А	29	08/08/1998 <u>(3)</u>	08/08/1998	SWM Common Stock	29
Phantom Stock Units <u>(2)</u>	\$ O	10/03/2016		А	518	08/08/1998 <u>(3)</u>	08/08/1998	SWM Common Stock	518
Phantom Stock Units <u>(4)</u>	\$ 0	10/03/2016		А	486	08/08/1998 <u>(3)</u>	08/08/1998	SWM Common Stock	486

Edgar Filing: SCHWEITZER MAUDUIT INTERNATIONAL INC - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Plane / Planess	Director	10% Owner	Officer	Other		
Keenan Jeffrey C/O SCHWEITZER-MAUDUIT INTERNAT'L INC. 100 NORTH POINT CENTER EAST, SUITE 600 ALPHARETTA, GA 30022	Х					
Signatures						
Honor Winks as attorney-in-fact for Jeffrey Keenan	10/04/2	016				

**Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividends credited pursuant to the Director's election in accordance with the Schweitzer-Mauduit International, Inc. Deferred Compensation Plan No. 2 for Non-Employee Directors ("Plan'). The Plan was filed as Exhibit 10.15 to the 2004 10-K.
- (2) Director's quarterly stock retainer deferred pursuant to the Director's election in accordance with the Schweitzer-Mauduit International, Inc. Deferred Compensation Plan No. 2 for Non-Employee Directors (the "Plan"). The Plan was filed as Exhibit 10.15 to the 2004 10-K.

Date

(3) Stock Units can be converted to cash upon the earlier of retirement from the Board or termination as a Director in accordance with the Plan. Conversion into cash is based on the fair market value of SWM common stock on the date of conversion.

Edgar Filing: SCHWEITZER MAUDUIT INTERNATIONAL INC - Form 4

(4) Director's quarterly meeting retainer and committee retainers deferred pursuant to the Director's election in accordance with the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.